

Twilight Seminar in Melbourne

Financial services regulation and financial product disclosure in Australia: Is it effective? What could we do better?

Tuesday 1 September, 2015

The BFSLA on behalf of the Academic Committee is pleased to invite you and your colleagues to this Twilight Seminar with presentations by:

Andrew Godwin,
Senior Lecturer, University of Melbourne

Gill North,
Associate Professor, Monash University

Commentator: **Professor Ian Ramsay,**
Harold Ford Professor of
Commercial Law University of
Melbourne

Chaired by: **Dr Ann Wardrop,**
Deputy Chair, BFSLA Academic
Committee, Director of Programs,
La Trobe Law School,
La Trobe University

- When:** Tuesday 1 September, 2015
- Where:** Allens, Level 37, 101 Collins Street
- Time:** 5.30 pm for 6.00 pm start
and 7.00 pm close
- Cost:** No charge! This event is proudly
hosted by The Banking & Financial
Services Law Association and
Allens
- To book:** Reserve your place by booking
on-line via following the link at
www.bfsla.org
- Or Complete the below form, scan
& send to the BFSLA Secretariat:
jenny@bfsla.org
- RSVP:** As refreshments will be served
please respond by close of
business on **Tuesday 25th
August, 2015.**

See next page for presenter descriptions

TOPIC OVERVIEW:

The efficacy of financial services regulation and disclosure in Australia has been questioned by many. This raises questions concerning how we can better promote good financial decision-making by Australians.

Andrew Godwin will consider and discuss the effectiveness of short-form disclosure documents, drawing on previous research undertaken with Professor Ian Ramsay and the results of a recent survey of investors about short-form disclosure documents in Australia and five other jurisdictions. He will also discuss the role disclosure plays in promoting good decision-making, including within the broader context of financial advice and financial education.

Picking up on these issues, Associate Professor Gill North will suggest there is a need for more nuanced and substantive debate. She will discuss the role and limitations of financial services regulation and disclosure based frameworks. She will also highlight the limits of what can be achieved within commercial industry structures. She will argue that multifaceted policy and practical approaches are required for an ageing population with diverse needs, skills and expertise concerning financial matters.

BFSLA Twilight Seminar: 1 September

RSVP to jenny@bfsla.org by COB Tuesday 25th August, 2015

Organisation: _____

Booking contact: _____

E-mail: _____

Name (for badge) _____

E-mail: _____

This form may be copied for additional attendees



Andrew Godwin

Andrew Godwin holds a number of senior positions at Melbourne Law School: Senior Lecturer, Director of Transactional Law, Director of the Graduate Program in Banking and Finance Law, and Associate Director of the Asian Law Centre. Prior to joining Melbourne Law School, Andrew practiced law for 15 years, 10 of which were spent in Shanghai where he was a partner and chief representative of the international law firm, Linklaters. Andrew's teaching and research interests include finance and insolvency law, transactional law, financial regulation (particularly disclosure and regulatory systems), the regulation of the legal profession and professional and transactional skills for lawyers.



Gill North

Gill has commercial and legal qualifications and experience. Prior to becoming an academic, she worked in a variety of large corporations and investment banks in Europe, Asia and Australia. Her roles included senior executive positions in the areas of securities analysis, funds management and financial services.

Gill is now an Associate Professor at Monash Law School and she heads the finance, investment and taxation law group of the Centre for Commercial Law & Regulatory Studies. Gill has published extensively in Australia and internationally on the regulation and practice of company disclosure, financial services and finance law. She regularly engages with policy, professional and consumer groups and welcomes opportunities to debate the "big issues" in relation to financial market and financial services law and conduct.



Ian Ramsay

Ian Ramsay is the Harold Ford Professor of Commercial Law at the University of Melbourne. He is also Director of the Law School's Centre for Corporate Law and Securities Regulation. Ian practised law in New York and Sydney and is a member of the Corporations Law Committee of the Law Council of Australia. Former positions he has held include Head of the Federal Government inquiry on auditor independence, member of the Takeovers Panel, member of the Australian Securities and Investments Commission's External Advisory Panel, member of the Australian Government's Corporations and Markets Advisory Committee, member of the Audit Quality Review Board, member of the Australian Government's Auditors and Liquidators Disciplinary Board, member of the Law Committee of the Australian Institute of Company Directors and member of the International Federation of Accountants taskforce on rebuilding confidence in financial reporting. Ian has published extensively on corporate law and corporate governance issues both internationally and in Australia.